



美國證券交收指示表格
US Securities Settlement Instruction Form

致：恒生銀行有限公司(「本行」)
To: Hang Seng Bank Limited (the "Bank")

請用正楷填寫，並在適當方格內加上“√”。
Please complete in BLOCK LETTERS and “√” where appropriate.

日期(日/月/年)
Date(DD/MM/YY)

甲部 Part A – 戶口資料 Account Information	
客戶於本行之證券戶口號碼 Customer SEC A/C No. with the Bank	客戶聯絡電話 Customer Telephone Number
客戶於本行之證券戶口持有人姓名 Name(s) of SEC A/C Holder(s) with the Bank	客戶於交收對手之證券戶口號碼 Customer SEC A/C No. with Counterparty
乙部 Part B – 交收對手資料 Counterparty Details	
交收對手名稱 (例如: 銀行/證券行) Name of Counterparty (e.g. Bank/Broker)	交收對手所在國家 Country of Counterparty
交收對手聯絡人 Counterparty Contact Person	交收對手電話號碼及電郵 Counterparty Tel No. & Email
交收對手資料 (銀行識別碼, 證券戶口號碼及名稱, US-DTC 號碼等) Counterparty Details (BIC/SWIFT, SEC A/C & Name, US-DTC No., etc)	
丙部 Part C – 證券交收指示 Securities Settlement Instruction	
<input type="checkbox"/> 請安排收取下列證券並存入本人(等)之證券戶口。本人確認該等證券之實益持有人並無轉變。 Please arrange to RECEIVE following securities for my/our securities account. I confirm no change of beneficiary ownership involved for these securities.	
<input type="checkbox"/> 請由本人(等)之證券戶口提取下列證券並安排交付。本人確認該等證券之實益持有人並無轉變。 Please arrange to DELIVER following securities from my/our securities account. I confirm no change of beneficiary ownership involved for these securities.	
是次收取或提取原因 Reason of Receive or Deliver: _____	

丙部 Part C – 證券交收指示(續) Securities Settlement Instruction (Cont.)

本人(等)現確認本人(等)明白並同意:

I/We confirm that I/we understand and agree to:

- 規限本行的證券服務及本人(等)之證券戶口之條款及細則，及本行的證券服務收費。相關服務收費(如有)將於上述證券戶口之結算戶口自動扣取；及
the terms and conditions governing the Bank's securities services and my/our securities account and the Bank's securities services charges. Relevant services charges (if any) will be debited from the settlement account of the above mentioned SEC A/C automatically; and
- 本行並不曾就此股票調撥指示提供意見或建議(只適用於個人客戶，不包括私人銀行客戶)。
the Bank did not provide advice or recommendation on this transfer instruction of stock(s) (only applicable to Personal Customers, excluding Private Banking Customers).

證券編號 Securities Code	證券名稱 Name of Securities	股數 Quantity of Securities

註 Note:

- 請同時通知上述的交易對手有關上述證券交收指示，並留意交收對手可能收取相關手續費(如有)。
Please notify the above Counterparty the above-mentioned securities settlement instruction and note that Counterparty may collect relevant handling fees (if any).
- 本行將與交收對手訂立交收日期。
Settlement date is to be arranged by the Bank and the Counterparty.

戶口持有人簽署
Signature(s) of Account Holder(s)

S.V.

X

請用留存本行之印鑑簽署
Please use signature(s)/chop(s) filed with the Bank

銀行專用 For Bank Use (同事如有查詢，請致電內線22844)

For Branch	For IOD (16/F, HOT)	
<input type="checkbox"/> 確認客戶已於本行持有有效之W-Series表格 Confirmed client maintains a valid W-Series form with the Bank	Ref No.:	
Branch Code	S231/S251:	S9231/S9251:
	S232/S252:	S9232/S9252:
Handling Staff Name	S6121/C771:	O.K. Release:
	Credit Control	Limit Hold (HK\$):
		Associated Cash A/C:
Contact Tel.	S235/S255:	S9235/S9255:
	SI Settlement Confirmed:	S6492:

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