

第四部 Part D — 地址/聯絡資料 Details of Address / Contact Information [AD]	
注意 Note - 除特別指明外，所有資料均 必須 提供 Unless otherwise specified, all information is MANDATORY - 請用正楷英文填寫。 Please use ENGLISH BLOCK letters.	
住宅地址 ¹ Residential Address ¹	
通訊地址 ^{1,2} Correspondence Address ^{1,2}	<input type="checkbox"/> 不需更改 No Change Required <input type="checkbox"/> 請更新: Please update: <input type="checkbox"/> 同上住宅地址 Same as above Residential Address <input type="checkbox"/> 其他，請註明 Others, please specify
郵政信箱並不適用於信用卡、循環貸款、SuperCash、外匯及貴金屬孖展買賣服務及貸款戶口的通訊地址。 如以郵政信箱作為新的通訊地址，上述戶口的通訊地址將維持不變。 PO Box is not accepted as correspondence address for Credit Card, Revolving Loan, SuperCash, Foreign Exchange and Precious Metals Margin Trading Services and Loan Accounts. The correspondence address of the above accounts will remain unchanged if the new correspondence address is a P.O. Box address.	更改指示 Change Instruction (可“✓”多於一項。Please “✓” more than one options if applicable.) (只適用於更改通訊地址 Applicable to correspondence address update only) 如不選擇，將自動以“選項1”為準。If not specified, default as “Option 1”. <input type="checkbox"/> 1. 所有恒生銀行/恒生財務公司/恒生授信有限公司之戶口/信用卡(不包括獨立信用額及月結單之附屬卡/私人銀行戶口/企業理財賬戶) My accounts / credit cards with Hang Seng Bank / Hang Seng Finance Ltd / Hang Seng Credit Ltd (not including credit cards with separate credit limit and statement / Private Banking Accounts / Corporate Wealth Management accounts) <input type="checkbox"/> 2. 獨立信用額及月結單之附屬卡 My supplementary credit cards with separate credit limit and statement <input type="checkbox"/> 3. 所有私人銀行戶口/企業理財賬戶 All my Private Banking Accounts / Corporate Wealth Management Accounts <input type="checkbox"/> 4. 只/另更改下列指定的戶口號碼/信用卡號碼/附屬卡號碼/私人銀行戶口/企業理財賬戶 Only/Also update to the following specified A/C No. / Credit Card No. / Supplementary Card No. / Private Banking A/C No. / Corporate Wealth Management A/C No. _____
註 Notes: 1. 為符合有關證券交易及外匯及貴金屬孖展買賣限制，(適用於個人客戶)若客戶/授權人士把其居住或通訊地址改為美國或加拿大地址或更新其個人資料為居住或通訊地址位於韓國之韓國公民，就有關戶口均不可繼續享用本行所提供的證券或基金投資或外匯及貴金屬孖展買賣服務。另外，若證券戶口之戶主為新加坡公民或其居住住址/通訊地址為新加坡境內，本行將不接受該證券戶口所發出有關新加坡上市證券之交易或交收指示。 In compliance with the relevant securities dealing and FX and precious metal margin trading restrictions, please note that (Applicable to personal customers) customers / Authorised Person(s) changing their residential or correspondence address to the United States of America (“US”) or Canada (“CA”) or updating their personal particulars to citizens of Korea (KO) with residential or correspondence address in KO, will not be able to continue enjoying our securities or investment fund trading or FX and Precious Metal Margin Trading services in relation to the relevant account(s). Besides, the Bank will not accept the dealing or settlement instruction(s) of securities which are listed in Singapore (“SP”) issued from securities account with account holder(s) who is/are a citizen(s) of SP or has(have) residential or correspondence address in SP. 2. 如以郵政信箱作通訊地址，必須填寫上方的住宅地址。郵政信箱不適用於信用卡、循環貸款、SuperCash、外匯及貴金屬孖展買賣服務及貸款戶口。 For P.O. Box correspondence address, Residential Address must be provided on the above. P.O. Box address is not accepted for Credit Card, Revolving Loan, SuperCash, FX and Precious Metal Margin Trading Services and loan accounts.	

第五部 Part E — 客戶資料補誌 Details of Customer Information Update [CI]			
注意^A 如被要求提供身份證明文件，或需要更新身份證明文件資料，必須提供由適合人士所認證的相關證明文件副本，詳情請參閱有關信件或與本行職員查詢。 Note^A If ID proof is requested, or need to update ID information, it is mandatory to provide relevant certified proof. Please refer to the letter or contact our staff for details.			
出生地區 Place of Birth	出生日期(日/月/年) Date of Birth(DD/MM/YY)	國籍(國家/地區) ¹ (如有) ¹ Nationality (Country/region/territory) ¹ (if any) ¹	國籍(國家/地區) ² 及 ³ (如有) ¹ Nationality (Country/region/territory) ² & 3 (if any) ¹
^A 新身份證明文件類別(如適用) ² ^A New ID Document Type (if applicable) ²	<input type="checkbox"/> 香港身份證 HKID Card <input type="checkbox"/> 澳門身份證 Macau ID Card <input type="checkbox"/> 護照 Passport <input type="checkbox"/> 往來港澳通行證 Exit/Entry Permit for Travelling to and from Hong Kong and Macau	^A 新身份證明文件號碼(如適用) ^A New ID Document No. (if applicable)	
註 Notes: 1. 為符合有關證券交易或基金投資或認購存款證或外匯及貴金屬孖展買賣限制，(適用於證券/基金/外匯及貴金屬孖展戶口)若客戶/授權人士更新其個人資料為居住或通訊地址位於韓國之韓國公民，或(只適用於證券/基金戶口)客戶/授權人士更新其個人資料為美國/加拿大領事館或軍事基地工作之美國/加拿大公民，或(只適用於基金/外匯及貴金屬孖展戶口)若客戶/授權人士把其國籍改為美國，或(只適用於存款證戶口)若客戶更新其個人資料為居住或通訊地址位於美國/本港之美國使館/軍方駐港辦事處/職業為在本港之美國使館或軍方駐港辦事處職員之美國公民，就有關戶口均不可繼續享用本行所提供的證券或基金投資或認購存款證或外匯及貴金屬買賣服務。另外，若證券戶口之戶主為新加坡公民或居住或通訊地址為新加坡境內，本行將不接受該證券戶口所發出有關新加坡上市證券之交易或交收指示。 In compliance with the relevant securities dealing, fund investing, certificates of deposit subscription or FX and precious metal margin trading restrictions, please note that (applicable to Securities Account/Investment Funds Account/FX and Precious Metal Margin Account) customers/Authorized Person(s) updating their personal particulars to citizens of Korea (“KO”) with residential or correspondence address in KO, or (applicable to Securities Account/Investment Fund Account) customers/Authorized Person(s) updating their personal particulars to citizens of United States (“US”)/Canada (“CA”) working in the US/CA embassy or military base, or (applicable to Investment Funds Account/FX and Precious Metal Margin Account) customers/Authorized Person(s) changing their nationality on our records to US, or (applicable to Certificates of Deposit Account) customers updating personal particular to citizens of US with residential or correspondence address in US/US embassy in Hong Kong (“HK”)/military office in HK or working in US embassy in HK or military office in HK, will not be able to continue enjoying our securities, fund investing, certificates of deposit subscription or FX and precious metal margin trading in relation to the relevant account(s). Besides, the Bank will not accept the dealing or settlement instruction(s) of securities which are listed in Singapore (“SP”) issued from securities account with account holder(s) who is/are a citizen(s) of SP or has(have) residential or correspondence address in SP. 2. (適用於人民幣戶口)為符合有關監管要求，如閣下於本行紀錄之證件類型更改為香港身份證，閣下的人民幣戶口將變為香港居民戶口，並受制於香港居民人民幣戶口(“香港居民人民幣戶口”)的有關規定(例如匯往中國內地之同名銀行戶口的匯款最高限額為每人每日人民幣80,000元)。任何新的銀行服務都應遵守適用於香港居民人民幣戶口的有關規定。詳情請參閱本行早前提供之「恒生銀行人民幣服務資料-個人客戶」。 (Applicable to Renminbi (“RMB”) Account(s)) In compliance with relevant regulatory requirements, if you change your Identification Document maintained in the Bank to Hong Kong Identity Card, your RMB Account(s) will be changed to Hong Kong Resident Account(s) and the relevant regulatory requirements and restrictions applicable to Hong Kong Resident RMB Account(s) (“Resident RMB Account(s)”) shall apply to your RMB Account(s) thereafter (such as the daily remittance limit of RMB 80,000 per person to same name bank account in the Mainland China). Any new banking services will subject to regulatory requirements and restrictions applicable to resident RMB accounts. For details, please refer to “Information Relating to Renminbi Services of Hang Seng Bank -Personal Customer” provided by the Bank earlier.			

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第六部 Part F — 客戶職業資料 Details of Employment Information [ED]

I. 職業狀況(只選一項)
I. Employment Status (Choose ONE only)

<input type="checkbox"/> 全職* Full-time Employed*	<input type="checkbox"/> 兼職* Part-time Employed*	<input type="checkbox"/> 自僱* Self-Employed*	*全職·兼職·或自僱人士 必須填寫下列的職業詳情 *For Full-time, part-time, or self-employed customer, mandatory to complete the following employment details
<input type="checkbox"/> 退休 Retired	<input type="checkbox"/> 待業 Unemployed	<input type="checkbox"/> 主婦 Housewife	
<input type="checkbox"/> 學生(請註明課程結束日期) Student (Please specify course end date) _____			

II. 職業詳情(只須依照所選擇的職業狀況填寫指定的部份)
II. Employment Details (Please fill in the section with respect to the chosen employment status)

必須填寫該部份的所有項目
ALL items in the section required

注意：如閣下擁有多重職業，請分別註明各職業的全年收入
Note: If you have more than one occupation, please provide the annual income of **each job**.

		甲部 Section I (全職·兼職人士適用) (Applicable to Full-time/Part-time Employed Customer)	乙部 Section II (自僱人士適用) (Applicable to Self-Employed Customer)
現任職業資料 Current Employment Information	職業角色 Employment Role (只選一項) (Choose ONE only)	<input type="checkbox"/> 僱員 Employee <input type="checkbox"/> 公司持有人 Business Owner	<input type="checkbox"/> 全東 Sole Trader <input type="checkbox"/> 公司持有人 Business Owner
	職業 ² Occupation ²	<input type="checkbox"/> 主要管理人 ¹ Key Controller ¹	<input type="checkbox"/> 主要管理人 ¹ Key Controller ¹
	行業 Industry		
	僱主/公司名稱 Name of Employer / Company		註 ³ Note ³
	僱主/公司所在的國家/地區 Country / Territory of Employer / Company	如沒有特定的公司地址，請填寫工作主要的所在國家或地區(如：香港) If there is no specific company address, please fill in the primary working country or territory (E.g. HK)	
	開始任職日期(月/年) Employment Start Date(MM/YYYY)	由(月/年) From (MM/YYYY)	由(月/年) From (MM/YYYY)
	全年收入(港幣) Annual Income (HKD)	(請填寫實際收入，包括獎金及佣金等) Please fill in exact amount, including bonus & commissions, etc)	(請填寫實際收入，包括獎金及佣金等) Please fill in exact amount, including bonus & commissions, etc)
	港幣 / 每年 HKD / Per Year	港幣 / 每年 HKD / Per Year	

註 Notes:

- 主要管理人是被委任參與公司的管理或高級行政架構，對業務行使直接決策權的人士。主要管理人一般會制定公司的策略方針，並與其他董事或高級行政人員共同管理及決策公司的營運。主要管理人可為一間法人團體。
Key Controller is an individual appointed to exercise direct control over the entity, by participating in the governance or senior / executive activities of the business. Key Controller typically sets the strategic direction of the entity and exercises control jointly with other directors or senior executives.
- 如閣下已於本行開立投資戶口，現轉職受僱於任何從事「證券及期貨條例」所界定受規管活動持牌法團或註冊機構，則請提供僱主書面同意書。如閣下之職業有任何改變須立即通知本行。
If you have maintained an investment account, and now have changed to be employed by a Licensed Corporation or a Registered Institution to carry out any regulated activities as defined under the Securities and Futures Ordinance, please provide the employer's Letter of Consent. You should notify the Bank immediately in case there is any change of the status.
- 如自僱人士未能提供公司名稱，本行將以客戶之全名替代相關所需的資料。
For Self-employed customer, if NO apparent company name is provided, name of customer will be used for the required information.

第七部 Part G — 持續/初始資金來源 Details of Ongoing Source(s) of Funds and/or Initial Source(s) of Funds [SOF]

<p>甲部 Section I 持續資金來源 Ongoing Source(s) of Funds</p>	<table border="0"> <tr> <td><input type="checkbox"/> 現金收入(薪金/退休金) Cash from Employer (Wages/Gratuities)</td> <td><input type="checkbox"/> 來自聯名戶口 From Joint Account</td> <td><input type="checkbox"/> 來自僱主的轉賬 Transfer from Employer</td> </tr> <tr> <td><input type="checkbox"/> 現金收入(禮物/轉售個人物品) Cash received from Gift/Sale of personal goods</td> <td><input type="checkbox"/> 來自第三方的轉賬 Transfer from 3rd Party Account</td> <td><input type="checkbox"/> 來自個人恒生戶口的轉賬 Internal Transfer in customer name</td> </tr> <tr> <td><input type="checkbox"/> 現金收入(其他來源) Cash from another source</td> <td>轉賬金額 Amount _____</td> <td><input type="checkbox"/> 來自其他金融機構的個人轉賬 Transfer Accounts with other Financial Institution in customer name</td> </tr> <tr> <td>請具體說明現金收入的來源 Description of cash from another source _____</td> <td>幣種 Currency _____</td> <td><input type="checkbox"/> 社會福利金/津貼 Social Security Benefits</td> </tr> <tr> <td><input type="checkbox"/> 非金融機構的個人現金儲蓄 Personal Cash Savings-held outside of a Financial Institution</td> <td>資金來源的國家/地區 Country(ies)/Territories of Source of Fund _____</td> <td><input type="checkbox"/> 支票 Cheque</td> </tr> <tr> <td><input type="checkbox"/> 其他金融機構的個人現金儲蓄 Personal Cash Savings-held within another Financial Institution</td> <td>_____</td> <td></td> </tr> </table>	<input type="checkbox"/> 現金收入(薪金/退休金) Cash from Employer (Wages/Gratuities)	<input type="checkbox"/> 來自聯名戶口 From Joint Account	<input type="checkbox"/> 來自僱主的轉賬 Transfer from Employer	<input type="checkbox"/> 現金收入(禮物/轉售個人物品) Cash received from Gift/Sale of personal goods	<input type="checkbox"/> 來自第三方的轉賬 Transfer from 3rd Party Account	<input type="checkbox"/> 來自個人恒生戶口的轉賬 Internal Transfer in customer name	<input type="checkbox"/> 現金收入(其他來源) Cash from another source	轉賬金額 Amount _____	<input type="checkbox"/> 來自其他金融機構的個人轉賬 Transfer Accounts with other Financial Institution in customer name	請具體說明現金收入的來源 Description of cash from another source _____	幣種 Currency _____	<input type="checkbox"/> 社會福利金/津貼 Social Security Benefits	<input type="checkbox"/> 非金融機構的個人現金儲蓄 Personal Cash Savings-held outside of a Financial Institution	資金來源的國家/地區 Country(ies)/Territories of Source of Fund _____	<input type="checkbox"/> 支票 Cheque	<input type="checkbox"/> 其他金融機構的個人現金儲蓄 Personal Cash Savings-held within another Financial Institution	_____	
<input type="checkbox"/> 現金收入(薪金/退休金) Cash from Employer (Wages/Gratuities)	<input type="checkbox"/> 來自聯名戶口 From Joint Account	<input type="checkbox"/> 來自僱主的轉賬 Transfer from Employer																	
<input type="checkbox"/> 現金收入(禮物/轉售個人物品) Cash received from Gift/Sale of personal goods	<input type="checkbox"/> 來自第三方的轉賬 Transfer from 3rd Party Account	<input type="checkbox"/> 來自個人恒生戶口的轉賬 Internal Transfer in customer name																	
<input type="checkbox"/> 現金收入(其他來源) Cash from another source	轉賬金額 Amount _____	<input type="checkbox"/> 來自其他金融機構的個人轉賬 Transfer Accounts with other Financial Institution in customer name																	
請具體說明現金收入的來源 Description of cash from another source _____	幣種 Currency _____	<input type="checkbox"/> 社會福利金/津貼 Social Security Benefits																	
<input type="checkbox"/> 非金融機構的個人現金儲蓄 Personal Cash Savings-held outside of a Financial Institution	資金來源的國家/地區 Country(ies)/Territories of Source of Fund _____	<input type="checkbox"/> 支票 Cheque																	
<input type="checkbox"/> 其他金融機構的個人現金儲蓄 Personal Cash Savings-held within another Financial Institution	_____																		
<p>乙部 Section II 初始資金來源 Initial Source(s) of Funds</p>	<p>初始資金來源與持續資金來源是否相同? Is your initial source(s) of fund same to your ongoing source(s) of fund?</p> <p style="text-align: right;"><input type="checkbox"/> 是 <input type="checkbox"/> 否 Yes No</p> <p>若勾選“否”，請參考甲部中的選項提供初始資金來源 If choose “No”, please specify the initial source(s) of fund regarding to Section I</p> <p>_____</p>																		

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接收推廣指示 Marketing Preferences

[MKT]

我們希望不時向你提供本行最新優惠、推廣及服務資訊，例如特惠利率、消費折扣或新推出之數碼服務。請讓我們知道你希望以哪些渠道來收取我們的市場推廣。請選擇以下指示(於空格填上“✓”號)以表達閣下的意願。*

We would like to occasionally update you about our latest offers, promotions and services, e.g. preferential rate, spending discounts or new digital services. Please let us know how you wish to receive our marketing materials. Please check (“✓”) the relevant box(es) below to indicate your preferences.*

	接受 Agree	不接受 Not agree
郵件 Post	<input type="checkbox"/>	<input type="checkbox"/>
流動電話短訊 SMS	<input type="checkbox"/>	<input type="checkbox"/>
電子郵件 Email	<input type="checkbox"/>	<input type="checkbox"/>
電話 Telephone call	<input type="checkbox"/>	<input type="checkbox"/>

* 如未為以上的選項作出選擇，本行將視特定選項為“不接受”。

* If no selection is given for the choice(s) above, the Bank shall treat the specific choice(s) as “Not agree”.

(詳情請參閱下頁注意事項)
(Please refer to the notes on next page for details)

請注意：以上選擇適用恒生銀行有限公司「私隱聲明」(「該聲明」)所列產品、服務及／或標註的類別之推廣，該聲明亦列明可能使用之個人資料種類，以及可能轉移有關個人資料作推廣之資料承轉人類別。該聲明已附加於表格後，閣下可參考該聲明的「直接促銷」部分。

Please note that your above choice applies to the promotion of the classes of products, services, and/or subjects as set out in the Bank's Privacy Notice (“Notice”). Please also refer to the Notice on the kinds of personal data which may be used and the classes of persons to which your personal data may be provided for them to use in promotion. The Notice has been appended to this form, please refer to section “Direct Marketing” of the Notice.

上述意願只涉及各推廣渠道。除非基於任何原因閣下撤回此申請或申請被拒，以上選擇會於本行批核此申請後生效。以上選擇會取代閣下以往的選擇或要求，惟各渠道之更新需時不同，本行會就閣下之選擇盡快處理。如需知悉閣下曾向本行表達的選擇，或希望更新使用個人資料作推廣之指定渠道，請登入恒生個人 e-Banking 或致電 2822 0228 聯絡我們。

Your choice above shall become effective after the Bank approves this application, unless this application is withdrawn or rejected for whatever reason. Your choice above shall replace all your previous choices and requests in respect of the relevant marketing channel (if any). However, the lead time required for the update varies in marketing channels. The Bank will proceed to update your records as soon as possible. Please logon to Hang Seng Personal e-Banking or contact us at 2822 0228 if you want to know your choice prior to this application or if you wish to update the use of personal data for promotion through specific communication channels.

閣下以上之選擇只適用於個人戶口(私人銀行除外)。如您希望選擇或更改現行就接受或不接受本行私人銀行、商業銀行或其他業務使用您的個人資料以發放推廣資訊的指示，請聯絡閣下的客戶經理以另作安排。

Your choice above is for personal accounts only (excluding Private Banking). If you wish to indicate or change your current instructions on whether or not to receive direct marketing contact or information in connection with Private Banking, Commercial Banking or other business lines, please contact your Relationship Manager for separate arrangement.

客戶簽署 Customer Signature (必須填寫 Mandatory)

戶口號碼(請註明任何一個使用以下簽署的戶口號碼)
A/C No. (Please specify any a/c no. to which the below signature(s) can apply)

客戶(等)簽署
Signature(s) of Customer(s)

X

S.V.

註 Notes:

- 簽署須與現存紀錄相同。
Signature(s) must correspond with the existing record.
- 上述所列的更改如涉及聯名賬戶，請依照本行留存之授權簽署安排。
If the change(s) above mentioned relate(s) to any joint account(s), please sign in accordance with the authorized signing arrangement filed with the Bank.
- 此表格不適用於恒生保險有限公司之人壽保單及/或恒生強積金計劃。如需更改/補誌個人資料，請另行填妥有關表格(人壽保單：IL11a/IL11b；強積金：HA91)。
This form is not applicable to life insurance policies of Hang Seng Insurance Company Ltd and / or Hang Seng MPF scheme. To change / update your personal information, please complete applicable form separately (IL11a/IL11b for life insurance policies and HA91 for MPF scheme).

銀行專用 For Bank Use

Call Record	Date:	Time:	Branch Name / CCC:	IP Phone No. / CSR Agent No.:	Handler:
In-person (via counter)	Handling Staff I/D:	Checking:		Purpose: (Mandatory)	
	Receiving Br. Code:	<input type="checkbox"/> ID & V (APP or above)		<input type="checkbox"/> GS CDS (ref. K072)	
		<input type="checkbox"/> Proof Verified, if any (APP or above)		<input type="checkbox"/> DR / SDB / HBUS / SNDR (ref. K072)	
		<input type="checkbox"/> K072 Checked		<input type="checkbox"/> Other (Pls specify)	
Authorized Signature					(Officer or above)

>>OPS>KYCS

私隱聲明之直接促銷部分

Direct Marketing section of the Privacy Notice

私隱声明之直接促销部分

- * 適用於2014年6月16日之前與銀行建立關係且未有同意本通知版本的客戶及其他個別人士。

直接促銷指我們使用你的資料向你發送我們或我們的合作品牌、獎賞或忠誠計劃合作夥伴或慈善機構提供的金融、保險或相關產品、服務和優惠詳情。

向你進行市場推廣時，我們可能會使用你的資料，例如你的姓名、聯絡資料、產品及服務組合資料、交易模式及行為、位置資料、財務背景、人口統計資料、流動裝置識別碼及你使用我們的網站與應用程式的相關資料。

- * 適用於2014年6月16日或之後與銀行建立關係，或其他已同意本通知版本的客戶及其他個別人士。

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- * Applicable to customers and individuals who have established a relationship with the Bank before 16 June 2014 and have not consented to this version of Notice.

Direct Marketing means using your data to send you details about financial, insurance, rewards or loyalty programmes, or related products, services and offers provided by us or our co-branding, rewards or loyalty programme partners or charities. We may use data such as your name, contact details, products and services portfolio information, transaction patterns and behaviour, location data, financial background, demographics, mobile device ID, and data relating to your usage of our websites and apps when we market to you.

- * Applicable to customers and individuals who have established a relationship with the Bank on or after 16 June 2014, or otherwise consented to this version of Notice.

Direct Marketing means using your data to send you details about financial, insurance, rewards or, loyalty programmes or related products, services and offers provided by us or our co-branding, rewards or loyalty programme partners or charities. We may use data such as your name, contact details, products and services portfolio information, transaction patterns and behaviour, location data, financial background, demographics, mobile device ID, and data relating to your usage of our websites and apps when we market to you.

- * 适用于2014年6月16日之前与银行建立关系且未有同意本通知版本的客户及其他个别人士。

直接促销指我们使用你的资料向你发送我们或我们的合作品牌、奖赏或忠诚计划合作夥伴或慈善机构提供的金融、保险或相关产品、服务和优惠详情。

向你进行市场推广时，我们可能会使用你的资料，例如你的姓名、联系资料、产品和服务组合资料、交易模式和行为、位置资料、财务背景、人口统计资料、移动设备识别码和你使用我们的网站与应用程序的相关资料。

- * 适用于2014年6月16日或之后与银行建立关系，或其他已同意本通知版本的客户及其他个别人士。

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註：中文本與英文本如有歧義，概以英文本為準。

Note: In case of discrepancies between the English and Chinese version, the English version shall apply and prevail.