

A股通(北向)證券交收指示表格
Stock Connect (Northbound) Securities Settlement Instruction Form

 致: 恒生銀行有限公司 (「本行」)
 To: Hang Seng Bank Limited ("the Bank")

 日期(日/月/年)
 Date(DD/MM/YY)

請用正楷填寫，並在適當方格內加上剔號。Please complete in BLOCK LETTERS and tick where appropriate.

甲部 Part A – 戶口資料 Account Information	
恒生銀行之交收經紀恒生證券有限公司中央結算編號 Hang Seng Bank's Broker Hang Seng Securities Limited CCASS Participant ID	B01284
電話 Tel No	8100 5257
客戶證券戶口號碼 Customer SEC A/C No.	-
客戶聯絡電話 Customer Telephone Number	-
證券戶口持有人姓名 Name(s) of SEC A/C Holder(s)	
交收對手名稱(例如: 銀行/證券行) ¹ Name of Counterparty (e.g. Bank/Broker) ¹	交收對手所在國家 Country of Counterparty
交收對手中央結算編號 Counterparty CCASS Participant ID	客戶於交收對手證券戶口號碼 Customer SEC A/C No. with Counterparty
交收對手聯絡人及電話號碼 Counterparty Contact Person & Tel No.	

乙部 Part B – 交收指示 Settlement Instruction			
<input type="checkbox"/> 請安排收取下列證券並存入本人(等)之證券戶口。本人確認該等證券之實益持有人並無轉變。 Please arrange to RECEIVE the following securities for my/our securities account. I confirm no change of beneficiary ownership involved for these securities.			
<input type="checkbox"/> 請由本人(等)之證券戶口提取下列證券並安排交付。本人確認該等證券之實益持有人並無轉變。 Please arrange to DELIVER the following securities from my/our securities account. I confirm no change of beneficiary ownership involved for these securities.			
本人(等)現確認本人(等)明白並同意: I/We confirm that I/we understand and agree to:			
1) 規限本行的證券服務及本人(等)之證券戶口之條款及細則，及本行的證券服務收費。相關服務收費(如有)將於上述證券戶口之結算戶口自動扣取；及 the terms and conditions governing the Bank's securities services and my/our securities account and the Bank's securities services charges. Relevant services charges (if any) will be debited from the settlement account of the above mentioned SEC A/C automatically; and			
2) 本行並不曾就此股票調撥指示提供意見或建議(只適用於個人客戶，不包括私人銀行客戶)。 the Bank did not provide advice or recommendation on this transfer instruction of stock(s) (only applicable to Personal Customers, excluding Private Banking Customers).			
證券編號 ² Securities Code ²	證券名稱 ² Name of Securities ²	股數 ² Quantity of Securities ²	交收日期(日/月/年) Settlement Date(DD/MM/YY) (如適用 If applicable)

丙部 Part C – 交收指示 Payment Instruction	
<input type="checkbox"/> 交收金額為_____請通過中央交收及結算系統繳付/收取(如屬收取款項者，本人(等)明瞭並同意若證券已根據中央交收及結算系統之有關規例交付予有關經紀，而該筆款項最終被對方付款銀行拒絕支付，本行不會為所引起之損失承擔任何責任。) Pay/Collect the sum of_____through Electronic Payment Instruction generated by CCASS (I/We understand and agree that for collecting payment, if the relevant amount is eventually rejected by the paying bank of the counterparty but the securities have been delivered to the receiving agent in accordance with the relevant rules of CCASS, the Bank shall not be liable for any resulting loss.)	
<input type="checkbox"/> 不需交收款項，只收取/交付證券。 Free of Payment, receive/deliver securities only.	

註 1. 請同時通知上述的交易對手有關上述證券交收指示，並留意交收對手可能收取相關手續費(如有)。
 Note: Please notify the above Counterparty the above-mentioned securities settlement instruction and note that Counterparty may collect relevant handling fees (if any).

2. 若證券交收指示須同時通過中央交收及結算系統繳付/收取款項，則每張 SEC345表格只可填寫一隻股票交收指示。
 If the securities settlement instruction is required to pay/collect funds through Electronic Payment instruction of CCASS, only one stock settlement instruction can be filled for each SEC345 form.

3. 如欲即日完成交收程序，務必於交收當日上午十時前填妥此交收指示表格並交付本行財富服務。
 Completed settlement instruction form must reach the Bank's Wealth Services before 10:00a.m. on the settlement day for same day settlement.

4. 閣下必須提供接受本行A股通條款及客戶身份資料之處理的同意意向而內地交易所確認接受有關客戶身份資料之處理的登記，本行才能處理存入滬港通及深港通股票指示。
 The Bank processes Receipt Instruction for Stock Connect shares only if you consent to Bank's Stock Connect Terms and Processing of Customer Identification Information ("CID") and Mainland Exchanges accept your CID consent.

戶口持有人簽署
 Signature(s) of Account Holder(s)



X

請用留存本行之印鑑簽署
 Please use signature(s)/chop(s) filed with the Bank

銀行專用 For Bank Use

For Branch		For IOD	
Branch Code	Contact Tel.	<input type="checkbox"/> Check SRBP Consent Enquiry: 'INVESTOR ID – BCAN CID TERM CONSENT' must be 'OPT-IN' before completing the receipt.	
Handling Staff Name		Ref No.:	
		S231/S251:	S9231/S9251:
<input type="checkbox"/> Check S6121 account status is 1. If the account status is unclaimed/dormant, follow up to change the status to normal		S232/S252:	S9232/S9252:
		S235/S255:	S9235/S9255:
Check SRBP Consent Enquiry - 'INVESTOR ID – BCAN CID TERM CONSENT' is:		Credit Control	S6121/C771: O.K. Release:
<input type="checkbox"/> 'No Consent' or 'OPT-OUT'. Customer must complete SEC500a and √ Part B.1 and B.2a. OR		Limit Hold (HK\$):	
<input type="checkbox"/> 'OPT-IN'. No SEC500a is required		Associated Cash A/C:	
		SI Settlement Confirmed:	S6492:

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